**BEPP PROCESS**

ANNEXURE “O”

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|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  |  |  |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | **Qualitative Comments** | **Support Requirements** |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| **Process** | | | | | | |  |  |
| BEPP preparation | **Internal to the Metro:** All necessary functional units in Metro collaborated extensively on the BEPP e.g. Finance, Spatial Planning, IDP, Economic Development, Public Transport, Human Settlements, Governance and Administration. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | Gold  The BEPP describes – in a number of places – the institutional structures in place for effective collaboration and transversal interaction. Section A covers this in detail under 1.2.5. |  |
| **Public sector inclusiveness:** Relevant SOEs and national and provincial sector departments were meaningfully involved in the preparation of the BEPP | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | Silver/ Gold  Huge strides have been made in the last financial year with regard to interactions with SOE’s and Government Departments. Processes are in place for the coming year to enhance the information coming from these engagements. This is covered, inter alia, under section 3.3.2 of the BEPP. |  |
| **Process compliance:** The deadlines for all BEPP requirements and submissions were met | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | Gold  All deadlines and requirements have been met |  |
| **Process Total** | | | | |  |  |  |

**BEPP SECTION A**

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|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  | |  | |  | |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** | |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| **Content & Quality** | | | | | | |  | |  | |  | |
| **Introduction** | **BEPP Adoption:** A statement confirming the adoption of the BEPP by Council with a copy of the Council Resolution as an Annexure provided | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  1.2.2 | |  | |
| **BEPP in relation to other Statutory Plans:** Standardised section on the role of the BEPP - a list of documents and references used in compiling the BEPP provided. Confirmation of the role of the BEPP vis a vis the MSDF's Capital Expenditure Framework is provided | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 1 as well as Bibliography at the end of the BEPP | |  | |
| **BEPP in relation to other Statutory Plans:** The extent to which the planning method and results of the BEPP have been incorporated into the Council's statutory documents; ie. IDP, Budget and SDFs outlined | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Platinum  There is a very clear process specifically developed through the Strategic Planning Steering Committee to achieve this. Described in detail Section 1.2.5 | |  | |
| **Alignment**: A table is provided summarising key areas of alignment between the BEPP, IDP, MSDF in respect of the spatially targeted areas and the catalytic urban development programmes. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold – 1.2.4 plus Table 1 | |  | |
| **Institutional Consolidation**: There is an established institutional vehicle to facilitate collaboration on the BEPP and its successive updates. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold /Platinum  BEPP Multi Sectoral Task Team described in a number of places 1.2.5 | |  | |
| **Section A Total** | | | | | |  | |  | |  | |

**BEPP SECTION B**

|  |  | tin | silver | gold | platinum |  | |  | |  |
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|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  | |  | |  |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| B Spatial Planning and Targeting | **Spatial Targeting: Urban network and IZ planning and prioritisation:**  MSDF map showing the Urban Network with all IZs, including highlighted prioritised IZ(s) and IPTN | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Figures 5 and 6 | |  |
| **Spatial Targeting: Spatially targeted areas identification and prioritisation:** Map provided showing: (i) Integration Zones and prioritisation; (ii) economic nodes, segmented into Metro categorisation method, and prioritised; and (iii) Prioritised marginalised residential areas differentiating between informal settlements and other marginalised residential areas. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold /platinum  Figures 5,6,7,8,9,10,11,17,19,  20,21,27.  A very detailed narrative of the development and result of the Urban network Framework | |  |
| **Spatial Targeting: IZ and precinct prioritisation:** Clear statement of the prioritisation of the integration zones. Prioritised Integration Zone with the key precincts identified and prioritised for further planning. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold / Platinum see section 2.1.2.1.5  With attendant maps and precinct planning maps | |  |
| **Spatial Targeting: IZ planning:** Evidence of a **Prioritised Integration Zone Plan** consisting of: (i) IZ Spatial Logic (mapped); (ii) IZ targets (Residential, Community, Employment, Transport); (iii) prioritised precincts (IZ phasing); (iv) List of prioritised IZ-wide projects, with descriptions, high-level costings and mapped number references in the Inter-Governmental Project Pipeline format; (vi) Prioritised IZ-wide interventions (land release proposals, procurement proposals, proposed policy, regulations, incentives, further studies, operational efficiencies, specifically public transport, including alignment between modes and spheres). | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver-   1. Mapped 2. Not all information available 3. Mapped 4. Mapped but information missing on costings in some cases 5. Intergovernmental Pipeline still lacks information on costings and mapped number references. 6. IZ interventions can be better articulated | |  |
| **Spatial Targeting: IZ planning and implementation:** Evidence of consultation or co-planning with relevant provincial, national and SOE sectors. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  Huge strides have been made in the last financial year with regard to interactions with SOE’s and Government Departments. Processes are in place for the coming year to enhance the information coming from these engagements. This is covered, inter alia, under section 3.3.2 of the BEPP. | |  |
| **Spatial Targeting: Strengthening alignment of public transport and housing plans:** Human settlements demand projections, disaggregated by area and typology, incorporated. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Detailed Information on housing demand with projections contained in the Situational Analysis Annexure C section 4.1. The Shisaka studies done in NMBM referenced in the bibliography and referred to in the BEPP have dealt with this subject matter in detail. | |  |
| **Spatial targeting: Strengthening alignment of public transport and housing plans**: Public Transport demand projections, disaggregated by area and mode, incorporated. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 7 of the Situational Analysis attached as Appendix C to the BEPP read in conjunction with information under 2.1.2.1.10 of the BEPP | |  |
| **Spatial Targeting: Strengthening alignment of public transport and housing plans:** Map provided showing Integrated Public Transport Plans (IPTN) compared to the priority spatially targeted areas (Integration Zones, Economic nodes, Marginalised Residential Areas). | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 2.1.2.1.10 IPTS and figure 29 including the narrative of this section. | |  |
| **Spatial Targeting: Strengthening alignment of public transport and housing plans:** Map provided showing planned housing projects in relation to Integration Zones, prioritised marginalised residential areas and economic nodes. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 2.1.2.1.10 Housing and figure 28 including the narrative of this section.  Annexure “G” shows detail of housing programme in relation to the Integration Zones. | |  |
| **Spatial Targeting: Strengthening alignment of public transport and housing plans:** Description of integration of public transport network and human settlement development planning and integrated prioritisation, with a clear focus on spatially targeted areas and within a clear spatial and land use plan (MSDF). Description of interventions required/ underway to align planned housing and transport investment projects to the priority spatially targeted areas with clear time frames. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold – Section 2.1.2.1.10 | |  |
| **Spatial Targeting: Strengthening alignment of public transport and housing plans:** Showcase of at least one Integration Zone demonstrating the alignment of public transport investments with identified catalytic urban development programme with a description of how this provides public transport access to specific and named housing projects in the area. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Fulfilled in respect of both Integration Zones 1 and 2 under section 2.1.2.1.5 and the inclusion of Njoli – transit spine alignment – Figure 14, Zanemvula Figure 15  Cleary Park Figure 16 | |  |
| **Precinct Planning:** Prioritised Precinct Plan/ concept mapped and priortiised precinct interventions identified. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Narrative 2.1.2.1.6  And all attendant mapping in these sections. | |  |
| **Precinct Planning:**  Evidence of commitment of relevant provincial, national and SOE sectors to priority precinct plan. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver/ Gold  Huge strides have been made in the last financial year with regard to interactions with SOE’s and Government Departments. Processes are in place for the coming year to enhance the information coming from these engagements. This is covered, inter alia, under section 3.3.2 of the BEPP. | |  |
| **Spatial Targeting: Informal Settlements:** Table supplied indicating status of detailed planning/development of prioritised informal settlements (Name of settlement, map reference number, UISP Phase, Key issues to be resolved) and whether the prioritised informal settlement is within an Integration Zone or outside of the Integration Zone(s) and the rationale for prioritisation outside of the IZ. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold/Platinum  2.1.2.1.6 and 2.1.2.1.10  Extensive information and mapping provided (including Annexures F and G ). | |  |
| **Spatial targeting: Informal Settlements:** Approved strategy, plan and programme for informal sector upgrading incorporated clearly showing the prioritised upgrading projects and related allocations for medium-term funding. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  2.1.2.1.6 and 2.1.2.1.10  Extensive information and mapping provided (including Annexures F and G) including rationale and what is being done regarding the NMBM Housing Strategy Review. | |  |
| **Spatial Targeting: Other Marginalised Residential Areas:** Other marginalised residential areas within IZ(s) and outside of IZ(s) identified and prioritised. Spatial logic for priority marginalised residential areas outside of IZ(s) outlined. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  2.1.2.1.7 and Figure 19 | |  |
| **Spatial Targeting: Other Marginalised Residential Areas:** The existence of a strategy for the development of these priority areas or whether a strategy is under development for these areas is noted. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  2.1.2.1.7- last paragraph before 2.1.2.1.8 | |  |
| **Spatial Targeting: Economic Nodes:** Diagnostic (lifecycle) analysis of economic nodes is presented and nodes are accordingly categorised and prioritised. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  2.1.2.1.8 | |  |
| **Spatial Targeting: Priority Economic Nodes in Priority IZ(s):** High level prioritised intervention strategy correlated with diagnostic and categorisation. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  2.1.2.1.8 | |  |
| **Spatial Targeting: Priority Economic Nodes outside of IZ(s):** Spatial logic for prioritisation of economic nodes outside of IZ(s) explained and whether there is a strategy for the development of these nodes exists or is under development is noted. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold/Platinum  Extensive section  2.1.2.1.8- Specifically the section Economic Node Prioritisation and features.  Figure 27  Section – Planning for Economic Nodes  2.1.2.1.9 | |  |
| **Institutional Consolidation**: There are transversal institutional mechanisms in place for planning and implementation in spatial targeting categories. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Platinum  There is a very clear process specifically developed through the Strategic Planning Steering Committee and BEPP Multi Sectoral Task Team to achieve this. Described in detail Section 1.2.5  1.5 addresses this specifically | |  |
| **Institutional Progression:** The effectiveness of institutional arrangements is reflected on, lessons are learnt and arrangements are adapted | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 1.3- Critical Analysis Of The Effectiveness Of Transversal Institutional Arrangements | |  |
| **Section B Total** | | | | | |  | |  |  |

**BEPP SECTION C**

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|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  | |  | |  |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| **Process** | | | | | | |  | |  |  |
| **C Catalytic Urban Development Programme & Preparation** | **Rationale**: A clear line of sight is evident from the integration of spatial targeting, public transport and human settlements planning to the catalytic urban development programme(s) and intergovernmental project pipeline | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  Narrative Section 3 and Figure 32 which locates the suite of catalytic programmes in the Integration Zones  Annexure I and J | |  |
| **Catalytic Urban Development Programme:** Catalytic Urban Development Programmes for priority IZ(s) and priority precincts identified in prescribed template (Annexure 1 of the Supplementary Guideline). At least one should be identified for a priority precinct in a priority IZ. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Narrative Section 3  Annexure “I” | |  |
| **Intergovernmental Project Pipeline:** Draft Inter-Governmental Project Pipeline (prioritised and costed) showing alignment and coordination of investment in terms of the Catalytic Urban Development Programme for priority precincts presented as per prescibed template (Annexure 2 of the Supplementary Guideline). | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Narrative Section 3.2  Annexure “J” – not all costs are available but the template is complete for further population as the depth of the programmes increases over time. | |  |
| **Institutional Coordination:** Demonstrable joint planning and budgeting alignment with national and provincial government and SOE's responsible for asset creation for service delivery directly to the public in priority IZ(s) and precincts. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 3.3.2 | |  |
| **Institutional Coordination:** Implementation agreements between Metro and relevant national and provincial government and SOE's in support of Inter-Governmental Project Pipeline identified and summarised. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 3.3.2 covering those programmes where there are agreements | |  |
| **Institutional Coordination:** Progress evaluation of implementation agreements given highlighting programme/ project specific expectations of relevant national and provincial governement and SOE's. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 3.3.2.covering those programmes where there are agreements | |  |
| **Institutional arrangements:** Evidence of **l**eadership and good governance required to drive change and build coalitions around the city's vision and programme. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  3.3.2 read in conjunction with section 1.2.5 | |  |
| **Institutional arrangements:** Evidenceof **i**ntra-sectoral municipal co-ordination. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 3.3.1 and 3.3.2 | |  |
| **Institutional arrangements:** Evidence of risk mitigation strategies | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 3.3.3 | |  |
| **Section C Total** | | | | | |  | |  |  |

**BEPP SECTION D**

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|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  | |  | |  | |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** | |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| **D Catalytic Urban Development Programme Resourcing** | **Spatial Budget Mix:** High level allocation of capital budget to each of the three spatial targeting areas, in the context of the Metro's total capital budget (from all funding sources) provided. As well as allocations across these areas for infrastructure upgrades, refurbishments, and operations and maintenance. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Tables in section 4.2.1  Annexure K | |  | |
| **Programme Resourcing:** Resourcing plan (capital and operational) for the prioritised catalytic urban development programmes for each phase of the project preparation process and funding sources provided over the MTREF as per the format of Annexure 1, including the following: Funding source identified and status of financial closure; indication of whether a project pre-feasibility / feasibility studies have been conducted; indication of project funding over the MTREF; identification of alternative funding sources, and status of financial closure; highlighting of projects for which funding has not been acquired and steps to be followed. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  Section 4.2  Annexure K | |  | |
| **Long Term Financial Planning**: Confirmation and overview of long term financing/ investment strategy for the catalytic urban development programme(s) provided or the timeframes given for the preparation of such as strategy | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 4.2.3 | |  | |
| **Strategy led budgeting:** Outline of the strategy leading the budgeting process is provided and the process followed to ensure that strategy led budgeting takes place. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold / Platinum  Sections 1.2.5 | |  | |
| **Capital budget: D**emonstration of how the budget content and processes between metros, national and provincial government and SOEs will be aligned to BEPP content and process and how this will be monitored in terms of priority projects in the Inter-Governmental project pipeline. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  The aligned budget process described in section 1.2.5 with the Strategic Planning Steering Committee is designed to achieve this. It is complemented by the ongoing engagements with SOE’s and Government as described in section 3.3.2 | |  | |
| **Capital budget:** Breaking down of the current expenditure in each prioritised Integration Zone into IZ-wide projects and prioritised IZ precinct projects. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 4.2.2  Annexure K  Table 11 | |  | |
| **Institutional arrangements:** Evidence of risk mitigation strategies in place and being implemented. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  3.3.3 | |  | |
| **Section D Total** | | | | | |  | |  | |  | |

**BEPP SECTION E**

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|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  | |  | |  |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| **E Implementation** | **Catalytic Urban Development Programme implementation:** Progress in project preparation and implementation cycle is recorded in Annexure 1 | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Annexure I | |  |
| **Institutional arrangement/ plans:** Implementation plans outlined for the catalytic urban development programmes and the priority precinct inter-governmental project pipelines within them, in the implementation phase or moving into the implementation phase | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  Annexure I and J and narrative sections | |  |
| **Land release strategies:**  Evidence of an approach to land release in priority precincts inter-governmental project pipelines where relevant. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 5.1 | |  |
| **Procurement/ transaction strategies:** Evidence of a procurement approach for priority projects within priority precincts inter-governmental project pipelines where relevant. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 5.2 | |  |
| **Institutional arrangements:** Evidence of institutional implementation coordination structures for catalytic urban development programmes and the priority precinct inter-governmental project pipelines | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  This is done through the BEPP multi Sectoral Task Team described in 1.2.5. as well the Catalytic programme Template described in more detail in section 2.2 and Annexure “H” | |  |
| **Private sector investment**: Incentive schemes in place or being planned to reinforce catalytic urban development programmes identified | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  5.3 | |  |
| **Regulatory reform**: Regulatory reforms required to stimulate investment or remove barriers to investment in the spatially targeted categories and priority precincts are identified and the plans in place to implement these reforms are outlined including resourcing committed and support required. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  5.3 | |  |
| **Institutional maturity**: Systemic challenges experienced in implementation processes and solutions found/ explored are documented. Risks and risk mitigation strategies are identified | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  1.2.5 as well as 3.3.3 | |  |
| **Component Section E Total** | | | | | |  | |  |  |

**BEPP SECTION F**

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|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  | |  | |  |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| **F. Targeted Urban Management** | **Precinct Management Planning:** Evidence of precinct management plans or progress with establishing precinct management plans for priority precincts and economic nodes. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 6.1 and Table 15 | |  |
| **Precinct Management Initiatives:** Evidence of existing precinct management initiatives supporting the prioritised IZ precincts and progress with establishing Precinct Management Plans for priority precincts and economic nodes. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Section 6.1.and Table 15 | |  |
| **Institutional Arrangements:** Institutional arrangements within Metros and intergovernmental arrangements that support the alignment of resources to urban management and coordinated urban management in priority precincts and economic nodes where the public sector is responsible | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  Narrative in section 6.1 Precinct Management Table 15 | |  |
| **Section F Total** | | | | | |  | |  |  |

**BEPP SECTION G**

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| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** |
|  | | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| **G Reporting and Evaluation** | **Evaluation:** Evidence of the consolidation of all the individual BEPP Sections into a Theory of Change. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Silver  Section 7.2 and Figure 34 | |  |
| **Reporting: Adoption and measurement of city reported outcome indicators:** Performance for city reported outcome indicators for 2016/17 (WG8, WG13, WG17, CC1, CC3, IC1, IC2, IC3, IC4, IC5, IC6, IC7 AND PC4) as per format prescribed in Annexure 4 of the Supplementary Guideline. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold –  Section 7.1 and Annexure “L” | |  |
| **Reporting: Adoption and measurement of city reported outcome indicators:** If required, the proposed approach and timelines for the population of outstanding indicators for each year until 2020/21 | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Platinum –  Not necessary | |  |
| **Evaluation**: Self-assessment undertaken in terms of this evaluation framework. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold  Annexure O | |  |
| **Section G Total** | | | | | |  | |  |  |

**BEPP SUPPORT**

|  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  | tin | silver | gold | platinum |  | |  | |  | |
|  |  |  |  |  |  |  | |  | |  | |
|  |  | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |  | |  | |  | |
| **BEPP Component** | **2018/19 - 2020/21 Standards** | **Level 0** | **Level 1** | **Level 2** | **Level 3** |  | | **Qualitative Assessment** | | **Support Requirements** | |
|  |  | **Score = 0** | **Score = 1** | **Score = 2** | **Score = 3** |
| CSIP support in relation to support needs identified in the BEPP | Comprehensive CSIP in place for the metro. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | | Gold – 5.4.2  Table 14  Gold 5.4.2- Narrative and Table 14 | |  | |
| A close match between gaps in the BEPP and Support Projects that have been requested by the Metro, or CSIP projects that are offered to the Metro. | No evidence of fulfillment of standard | Tangible evidence of partial fulfillment of standard | Tangible and complete evidence of fulfillment of standard | L2 plus exceeds standard |  | |
| **BEPP Support Total** | | | | | |  | |  | |  | |

**FINAL BEPP PROGRESSION SCORE**

|  |  |  |  |  |  |  |  | **Component Scoring System** | | | |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  |  |  |  |  |  |  |  | tin | silver | gold | platinum |
|  |  |  |  |  |  |  |  |  |  |  |  |
| **BEPP Component** | **Component Score** | **No. of Component Elements** | **Maximum Component Score Possible** | **Unweighted %** | **Component Weighting %** | **Weighted Score** | **Component Classification: tin / silver / gold / platinum** | Zero Fulfillment | Partial Fulfillment | Fulfilled | Exemplary |
| **No evidence of fulfillment of standard** | **Tangible evidence of partial fulfillment of standard** | **Tangible & complete evidence of fulfillment of standard** | **Exceeds standard** |
| **Level 0** | **Level 1** | **Level 2** | **Level 3** |
| BEPP Preparation/ Process |  | 3 | 9 | 0.0% | 5 |  | tin | 0 | 1 - 3 | 4 - 6 | 7 - 9 |
| Section A: Introduction | 0 | 4 | 12 | 0.0% | 3 | 0.0 | tin | 0 | 1 - 5 | 6 - 9 | 10 - 12 |
| Section B: Spatial Planning and Targeting | 0 | 22 | 66 | 0.0% | 15 | 0.0 | tin | 0 | 1 - 24 | 25 - 50 | 51 - 66 |
| Section C: Catalytic Urban Development Programme & Preparation | 0 | 9 | 27 | 0.0% | 30 | 0.0 | tin | 0 | 1 - 10 | 11 - 21 | 22 - 27 |
| Section D: Catalytic Urban Development Programme Resourcing | 0 | 7 | 21 | 0.0% | 20 | 0.0 | tin | 0 | 1 - 7 | 8 - 16 | 17 - 21 |
| Section E: Implementation | 0 | 8 | 24 | 0.0% | 15 | 0.0 | tin | 0 | 1 - 9 | 10 - 18 | 19 - 24 |
| Section F: Urban Management | 0 | 3 | 9 | 0.0% | 5 | 0.0 | tin | 0 | 1 - 3 | 4 - 6 | 7 - 9 |
| Section G: Reporting & Evaluation | 0 | 4 | 12 | 0.0% | 5 | 0.0 | tin | 0 | 1 - 5 | 6 - 9 | 10 - 12 |
| BEPP Support | 0 | 2 | 6 | 0.0% | 2 | 0.0 | tin | 0 | 1 - 2 | 3 - 4 | 5 - 6 |
| **Total Score** | **0** | **62** | **186** | **0.0%** | **100** | **0.0** | **tin** | **0** | **1 - 40** | **41 - 69** | **70 - 100** |